	I	
1	XAVIER BECERRA Attorney General of California	
2	Tracy L. Winsor	FILED
3	Supervising Deputy Attorney General Courtney S. Covington (Bar No. 259723)	MAY 1 3 2019
4	RUSSELL B. HILDRETH (BAR NO. 166167) Deputy Attorneys General	CLERK OF THE SUPERIOR COURT
5	1300 I Street, Suite 125 P.O. Box 944255	BY: G. HOYT, DEPUTY CLERK
6	Sacramento, CA 94244-2550 Telephone: (916) 210-7825	
7	Fax: (916) 327-2319 E-mail: Russell.Hildreth@doj.ca.gov	
8	Attorneys for Plaintiff and Petitioner People of the State of California ex rel.	Exempt from filing fee under
9	Attorney General Xavier Becerra	Government Code section 6103
10	SUPERIOR COURT OF THE STATE OF CALIFORNIA	
	COUNTY OF SHASTA	
11	COUNTIONSHASTA	
12		I
13	PEOPLE OF THE STATE OF CALIFORNIA EX	Case No. 192487
14	REL. ATTORNEY GENERAL XAVIER BECERRA,	COMPLAINT FOR DECLARATORY
15	Plaintiff and Petitioner,	AND INJUNCTIVE RELIEF AND PETITION FOR WRIT OF MANDATE
16	v.	[Pub. Resources Code, § 5093.542]
17	WESTLANDS WATER DISTRICT AND	
18	DOES 1-20,	
19	Defendants and Respondents	
20		
21		
22		
23	Plaintiff and Petitioner People of the State of California ex rel. Attorney General Xavier	
24	Becerra (Plaintiff) brings this action for declaratory, injunctive and/or writ relief pursuant to Code	
25	of Civil Procedure sections 525, 526, 1060, 1085, and Civil Code 3422 for violations of Public	
26	Resources Code section 5093.542 by defendant and respondent Westlands Water District	
27	(Westlands).	
28		

PARTIES

- 1. Plaintiff is the People of the State of California, by and through Attorney General Xavier Becerra. The Attorney General is constitutionally designated as the chief law officer of the State and has the constitutional and statutory authority to ensure that state law is adequately enforced. (Cal. Const., art. V, § 13; Gov. Code, § 12511.)
- 2. Defendant Westlands is a water district in the southern Central Valley of California, and is a public agency of the state pursuant to Water Code sections 37822 and 37823.
- 3. The true names and capacities, whether individual, corporate, associate or otherwise, of the Defendants sued in this Complaint under the fictitious names of Does 1 through 20, inclusive, are unknown to Plaintiff who therefore sues each such defendant by such fictitious name. Plaintiff will ask leave of court to amend this complaint to show the true name and capacity of each defendant when these facts are discovered.
- 4. Plaintiff is informed and believes, and based on that information and belief alleges, that at all times relevant hereto, each entity defendant was the parent, subsidiary, principal, member, related company, affiliated company, director, officer, agent, contractor, employer, employee, and/or representative of the other defendants and each individual defendant was the principal, member, director, officer, agent, supervisor, contractor, employer, employee, and/or representative of the other defendants when committing the violations alleged in this complaint and that each was acting within the course and scope of such role. Whenever in this complaint reference is made to any act of defendants, such allegations shall be deemed to mean that the principal, member, director, officer, agent, supervisor, contractor, employer, employee, and/or representative of said defendants that did or authorized such acts while actively engaged in the management, operation or conduct of the affairs of said defendants, and while acting within the course and scope of their employment.

1/

JURISDICTION

- 5. This Court has jurisdiction to grant declaratory relief to Plaintiff pursuant to Code of Civil Procedure section 1060.
- 6. This Court has jurisdiction to grant injunctive relief to Plaintiff pursuant to Code of Civil Procedure sections 525 and 526, and Civil Code 3422.
- 7. This Court has jurisdiction to grant writ relief to Plaintiff pursuant to Code of Civil Procedure section 1085.

FACTUAL AND LEGAL BACKGROUND

- 8. The lower McCloud River is located in Shasta County, California. It flows south from the McCloud Dam to Lake Shasta, a man-made reservoir on the Sacramento River. In the California Wild and Scenic Rivers Act, the California Legislature declared that "the McCloud River possesses extraordinary resources in that it supports one of the finest wild trout fisheries in the state." (Pub. Resources Code, § 5093.542.) The Legislature further found that "maintaining the McCloud River in its free-flowing condition to protect its fishery is the highest and most beneficial use of the waters of the McCloud River...." (Pub. Resources Code, § 5093.542, subd. (a).) The Legislature intended to maintain the free-flowing condition of the McCloud River from impairment by the construction of any further dam, reservoir, diversion or other water impoundment facility. (Pub. Resources Code, § 5093.542, subd. (b).)
- 9. The Wild and Scenic Rivers Act expressly bars any agency or department of the state from participating in any way in the "planning or construction of any dam, reservoir, diversion, or other water impoundment facility that could have an adverse effect on the free-flowing condition of the McCloud River, or on its wild trout fishery." (Pub. Resources Code, § 5093.542, subd. (c).)
- 10. Shasta Dam is a federally owned facility and the largest reservoir in California. The federal government has been studying the possibility of raising Shasta Dam on and off since the 1980s. In 2006, the U.S. Bureau of Reclamation (Bureau) released a Public Scoping Report for the Shasta Lake Water Resources Investigation.

27

28

	1
	2
	3
	4
	5
	6
	7
	8
	9
1	0
1	1
1	2
1	3
1	4
1	5
1	6
1	7
1	8
1	9
2	0
2	1
2	2
_	_

- In 2012, the Bureau sought comments on a Draft Feasibility Report for the Shasta 11. Lake Water Resources Investigation. In response, the California Department of Fish and Wildlife (Department) submitted comments that concluded the proposed project would result in significant and unavoidable impacts to fish, wildlife, native plants, and natural communities; has highly suspect benefits to fish survival; and will convert part of the McCloud River into reservoir habitat. (September 30, 2013, Department Comment Letter.)
- In addition, the U.S. Fish and Wildlife Service (Service) prepared a report that concluded the proposed project does not provide substantial benefits to fish and wildlife resources and will result in losses of salmonid rearing and riparian habitat; the Service was unable to support the adoption of any of the proposed action alternatives. (November 24, 2014, Service Report.)
- In 2015, the Bureau released a Final Feasibility Report and Final Environmental Impact Statement (EIS) for the Shasta Lake Water Resources Investigation, which examined several proposed project alternatives for raising Shasta Dam 6.5, 12.5, or 18.5 feet. The EIS stated a preferred alternative of raising Shasta Dam 18.5 feet.

14. The federal EIS concluded:

- The preferred alternative (CP4A, 18.5 feet increased dam height) would cause a 39 percent increase over the current transition reach, inundating a larger portion of the lower McCloud River, with no feasible mitigation available to reduce impacts.
- b. Increased inundation could affect the free-flowing condition of the McCloud River, as identified in the Public Resources Code, and this impact would be significant and unavoidable.
- c. The primary impact of the expansion of the transition reach would be conversion of aquatic habitat, in conflict with the Public Resources Code, and this impact would be significant and unavoidable, even with mitigation.
- d. Increased inundation could affect the wild trout fishery of the lower McCloud River.

- e. The lower McCloud River meets the definition of a free-flowing river under both the federal Wild and Scenic Rivers Act and state Public Resources Code.
- f. Implementation of the 18.5 feet dam raise would reduce the total length of the McCloud River that is eligible for wild and scenic river designation by about 3,550 feet.
- g. The inundated area of the McCloud River would increase to about 60 total acres.
- h. The characteristics of the transition reach would be modified, resulting in slower moving waters, and this modification would not meet the definition of a free-flowing river.
- Identified impacts would conflict with the California Wild and Scenic Rivers
 Act, and that impacts would be significant and unavoidable, even with
 mitigation.
- 15. The federal EIS also acknowledged that raising Shasta Dam would have a direct, significant impact on cultural resources, including important Native American heritage locations. According to the federal EIS, ceremonial locations, burial sites, and ancestral villages important to the Winnemem Wintu tribe and others would be adversely impacted if Shasta Dam is raised.
 - 16. The federal Final Feasibility Report concluded:
 - a. Raising Shasta Dam would inundate portions of the lower McCloud River.
 - b. Raising Shasta Dam 18.5 feet would result in inundating an addition 3,550 lineal feet (about 27 acres) of the lower McCloud River.
 - c. Long-term adverse impacts in wet years would be unavoidable for up to .67 miles of the McCloud River.
 - d. Raising Shasta Dam would impact the free-flows the McCloud River.
- 17. The Bureau's 2015 Final Feasibility Report announced that it would require cost-sharing partner(s) for the Shasta Dam project.
- 18. In 2016, Congress enacted the Water Infrastructure Improvements for the Nation Act (WIIN Act, PL 114-322, 2016, S. 612), which requires at least a fifty-percent contribution from non-federal cost-sharing partners for expansion of a federally owned storage project. (WIIN Act,

20. In October 2018, Westlands approved a budget transfer in the amount of \$1,020,000 consulting services related to Shasta Dam.
21. In November 2018, Westlands published an Initial Study/Notice of Preparation of an

(WIIN Act, § 4007(b)(4)), including state laws. (WIIN Act, §§ 4007(j), 4012.)

Appropriations Act, 2018, PL 115-141, H.R. 1625-169, Division D, Title II.)

§ 4007(b)(2).) The WIIN Act also requires compliance with all applicable environmental laws

design engineering to raise Shasta Dam 18.5 feet, pursuant to the WIIN Act. (Consolidated

In March 2018, Congress approved \$20 million in funding for pre-construction and

- Environmental Impact Report for the Shasta Dam Raise Project (Initial Study). The project description includes six alternatives (as described in the 2014 federal EIS), each of which includes enlarging Shasta Dam and Reservoir. The Initial Study identified potentially significant impacts to biological resources, botanical resources and wetlands, and wildlife resources. The Initial Study also identified a potentially significant impact on the McCloud River's eligibility for listing as a federal wild and scenic river. The Initial Study erroneously characterized potential impacts on the McCloud River's free-flowing condition and wild trout fishery as "to be determined." The 2014 federal EIS made specific findings on such potential impacts.
- 22. The California Department of Fish and Wildlife (Department) provided comments on the Initial Study, stating "Raising the water level behind Shasta Dam will convert part of the McCloud River into reservoir habitat, changing the free-flowing condition of the McCloud River," and "Inundation of the McCloud River would result in a significant loss of this river ecosystem to a reservoir ecosystem, resulting in direct and indirect adverse impacts to the current trout fishery in conflict with State law and policy." (January 14, 2019, Department Comment Letter.)
- 23. The California State Water Resources Control Board (State Water Board) provided comments on the Initial Study, stating that additional impoundment of water in Shasta Reservoir will include the reach of the McCloud River protected under the Wild and Scenic Rivers Act and convert the affected area from a free-flowing stretch of river to impounded waters, and that the State Water Board and other state agencies would be barred from issuing any permit or other

approval for a project that could adversely affect the free-flowing character of the McCloud River or its wild trout fishery. (January 14, 2019, State Water Board Comment Letter.)

VENUE

24. Venue for this action properly lies in Shasta County because Shasta Dam and Reservoir and the lower McCloud River are located in Shasta County. Impacts to the McCloud River will occur in Shasta County.

STANDING

25. Plaintiff has standing to assert the claims raised in this complaint because the People of the State of California have a direct and beneficial interest in upholding applicable California law and protecting the public trust in natural resources. Plaintiff and the public are directly and adversely affected by the actions of defendant Westlands that violate state law.

FIRST CAUSE OF ACTION

VIOLATION OF PUBLIC RESOURCES CODE SECTION 5093.542

- 26. Plaintiff realleges and incorporates by reference all of the allegations contained in paragraphs 1-25, above.
- 27. By taking steps to become a cost-sharing partner with the federal government to raise Shasta Dam and expand Shasta Reservoir, Westlands violated and continues to violate the mandate of Public Resources Code section 5093.542. Raising the dam could have an adverse effect on the free-flowing condition of the McCloud River or on its wild trout fishery. Westlands' development of an environmental impact report, as a lead agency under the California Environmental Quality Act (Pub. Resources Code, § 21000 et seq.) (CEQA) is "planning" that is prohibited under the plain meaning of Public Resources Code section 5093.542. Such planning involves the assistance or cooperation with the planning or construction of water impoundment facilities that could have an adverse effect on the free-flowing condition of the McCloud River, or on its wild trout fishery, in violation of the law.
- 28. Plaintiff is without a plain, speedy, and/or adequate remedy in the ordinary course of law to compel defendant Westlands to comply with the Public Resources Code.

- 29. Plaintiff has suffered and will continue to suffer irreparable injury unless and until this Court enjoins defendant Westlands from continuing its illegal conduct.
- 30. Defendant Westlands' illegal conduct is ongoing and threatens to be continued in the future.
- 31. An actual controversy has arisen between Plaintiff and Defendant in that Plaintiff contends defendant Westlands' participation in planning for or otherwise participating in any dam, including Shasta Dam, that could have an adverse effect on the free-flowing condition of the McCloud River, or on its wild trout fishery, violates the law, and that encumbering funding and preparing an EIR is planning and participating in such a dam.
- 32. A judicial declaration is necessary so that the parties may ascertain their rights in this controversy and to prevent illegal conduct by defendant Westlands.
- 33. Illegal expenditure of funds in violation of the law is an ultra vires act and an abuse of discretion. Westlands has a non-discretionary duty to comply with Public Resources Code section 5093.542. Westlands abused its discretion, and continues to abuse its discretion, by taking action to assist with the Shasta Dam Raise Project, including without limitation, issuing the Initial Study, allocating funds for preparation of an Environmental Impact Report, and acting as a lead agency for the issuance of an Environmental Impact Report for the Shasta Dam Raise Project. For these reasons, Plaintiff petitions this Court for a peremptory writ of mandate requiring Westlands to halt and refrain from planning or construction activities relating to the Shasta Dam Raise Project. (Code Civ. Proc., § 1085.)

PRAYER FOR RELIEF

Plaintiff requests that this Court grant the following relief:

- 1. Determine, declare, and adjudicate that the acts of defendant Westlands involving planning for a project to raise the height of Shasta Dam violate Public Resources Code section 5093.542;
- 2. Preliminarily and permanently enjoin defendant Westlands from assisting or cooperating with any actions involving planning or construction of a project to raise Shasta Dam;